PTO/SB/21 (08-03) Approved for use through 08/30/2003. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. **Application Number** 13526,0025,NPUS00 **TRANSMITTAL** Filing Date November 25, 2003 **FORM** First Named Inventor Frederic M. Newman Art Unit 3661 (to be used for all correspondence after initial filing) **Examiner Name** Unknown Attorney Docket Number 13526,0025,NPUS00 Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) After Allowance communication Fee Transmittal Form Drawing(s) to Technology Center (TC) Appeal Communication to Board Fee Attached Licensing-related Papers of Appeals and Interferences Appeal Communication to TC Petition Amendment/Reply (Appeal Notice, Brief, Reply Brief) Petition to Convert to a **Proprietary Information** After Final Provisional Application Power of Attorney, Revocation Status Letter Affidavits/declaration(s) Change of Correspondence Address Other Enclosure(s) (please Terminal Disclaimer **Extension of Time Request** Identify below): **Express Abandonment Request** Request for Refund CD, Number of CD(s) Information Disclosure Statement Certified Copy of Priority Document(s) FORM PTO-1449; **POSTCARD** Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm **HOWREY SIMON ARNOLD & WHITE** Individual name Signature Date April 27/2004 CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Typed or printed name

Matthew F. Steinheider, Reg. 47,968

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Date April 27, 2004

This collection of information is required to y of ER 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	§	
	§ Group Art Unit: 3661	
Frederic M. Newman	§	
	§ Examiner: Unknown	
Serial No.: 10/720,594	Š	
Confirmation No.: 5037	§ Att'y Docket: 13526.0025.NPU	S00
	§	
Filed: November 25, 2003	§	
•	ç	

For: CROWN OUT-FLOOR OUT DEVICE

FOR A WELL SERVICE RIG

## INFORMATION DISCLOSURE STATEMENT

§

CERTIFICATE OF MAILING 37 C.F.R. 1.8

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as First Class Mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date below:

April 27, 2004

April 27, 2

Alexandria, VA 22313-1450

P.O. Box 1450

Commissioner for Patents

Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56, it is respectfully requested that this Information Disclosure Statement be entered and the documents listed on attached Form PTO-1449 be considered by the Examiner and made of record.

Copies of cited U.S. patents and U.S. patent publications are not submitted for patent applications filed after June 30, 2003 (see partial waiver of 37 C.F.R. 1.98(a)(2)(i); Official Gazette notice dated August 5, 2003).

In accordance with 37 C.F.R. §§ 1.97(g),(h), this Information Disclosure Statement is not to be construed as a representation that a search has been made by the Applicant, and is not to be

construed to be an admission that the information cited is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

The present Information Disclosure Statement is being filed prior to the receipt of a first Official Action reflecting an examination on the merits, and hence is believed to be timely filed in accordance with 37 C.F.R. § 1.97(b). No fees are believed to be due in connection with the filing of this Information Disclosure Statement, however, should any fees under 37 C.F.R. §§ 1.16 to 1.21 be deemed necessary for any reason relating to this document, the Commissioner is hereby authorized to deduct the fees from Howrey Simon Arnold & White, LLP Deposit Account 01-2508/13526.0025.NPUS00.

Applicant respectfully requests that the listed documents be made of record in the present case.

Respectfully submitted,

Matthey F. Steinheider

Reg. No. 47,968

Customer No. 23369

ATTORNEY FOR ASSIGNEE, KEY ENERGY SERVICES, INC.

Howrey Simon Arnold & White, LLP 750 Bering Drive Houston, TX 77057-2198 (713) 787-1569

April 27 2004

Form PTO-1449 (modified)

List of Patents and Publications for Applicant's

FORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

Atty. Docket No.	
13526.0025.NPUS	00

Serial No. 10/720,594

**Applicant** 

Frederic M. Newman

Filing Date: November 25, 2003 Group: 3661

**U.S. Patent Documents** 

**Foreign Patent Documents** See Page 1 N/A

Other Art N/A

## **U.S. Patent Documents**

Exam. Init.	Ref. Des.	Document Number	Date	Name	Class	Sub Class	Filing date of App.
	Al	4,334,217	06/08/82	Nield et al.	340	685	05/02/80
	A2						
	A3						
	A4						
	<b>A</b> 5						
	A6						
	A7						
	A8						
	A9						
	A10						
	All						
	A12						
	A13						
	A14						
	A15						
	A16						
-	A17						
	A18						
	A19						
	A20						

Examiner:	DATE CONSIDERED:

EXAMINER: INITIAL IF REFERENCE CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED. INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.